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IAP9 Rec'd PCT/PTO 30 AUG 2006

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q80719

Katsuki KUSUNOKI

Appln. No.: Based on PCT/JP05/005439

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: August 30, 2006

Examiner: Unknown

For: COMPOUND SEMICONDUCTOR LIGHT-EMITTING DEVICE AND PRODUCTION  
METHOD THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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INFORMATION DISCLOSURE STATEMENT  
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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report and Written Opinion for PCT/JP05/005439 dated May 10, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: August 30, 2006

Substitute for Form 1449 A & B/PTO  <div style="text-align: center;"><b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b></div> <div style="text-align: center;"><i>(use as many sheets as necessary)</i></div>				<i>Complete if Known</i>	
		Application Number	Based on PCT/JP05/005439		
		Confirmation Number	Unknown		
		Filing Date	August 30, 2006		
		First Named Inventor	Katsuki KUSUNOKI		
		Art Unit	Unknown		
		Examiner Name	Unknown		
		Attorney Docket Number	Q80719		
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> <i>(if known)</i>		
		US			
		US			
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		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> <i>(if known)</i>			
		JP	2003-298107	A	10-17-2003	TOSHIBA CORP	Abstract
		JP	9-92878	A	04-04-1997	SHIN ETSU HANDOTAI CO LTD	Abstract
		JP	2003-151921	A	05-23-2003	SANYO ELECTRIC CO LTD TOTTORI SANYO ELECTRIC CO LTD	Abstract
		EP	1 376 687	A2	01-02-2004	TOYODA GOSEI CO., LTD	
		JP	2003-338468	A	11-28-2003	HAMAMATSU PHOTONICS KK	Abstract
		JP	2002-205180	A	07-23-2002	HAMAMATSU PHOTONICS KK	Abstract
		JP	4-116848	A	04-17-1992	SEIKO INSTR INC	Abstract
		JP	7-273069	A	10-20-1995	NICHIA CHEM IND LTD	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.